# Vertical profiles of atmospheric aerosol

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II Lectures on Atmospheric Mineral Dust Barcelona, 6th of November, 2012





#### Outlook

- Lidar (laser radar) principles
- Types of aerosol lidar
- Aerosol lidar networks
- Space-borne systems
- Access to aerosol lidar data
- Summary



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- Question: why remote sensing of aerosols

   ≈ optical methods? (e.g. sun-photometer, lidar)
- Answer: Strong interaction at optical wavelengths between electromagnetic radiation and suspended particles







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- Question: why remote sensing of aerosols

   ≈ optical methods? (e.g. sun-photometer, lidar)
- Answer: Strong interaction at optical wavelengths between electromagnetic radiation and suspended particles
- Light scattering by aerosol is used to obtain information on aerosol optical and microphysical properties







#### **Ground-based aerosol remote sensing** Passive ( $\approx$ sun photometer) vs Active ( $\approx \rightarrow \equiv$ lidar)

Passive Active





A B



#### **Ground-based aerosol remote sensing** Passive ( $\approx$ sun photometer) vs Active ( $\approx \rightarrow \equiv$ lidar)

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#### Passive

- Depends on sun (or stars) → cannot operate in night-time (except if it uses star light) nor under cloudy conditions
- Many wavelengths (solar spectrum bands) → relatively easy to retrieve aersol microphysical properties
- Column-integrated properties

#### Active

- Has its own interrogator source (laser) → night- and day-time operation, without and with clouds
  - Few wavelengths → difficult retrieval of microphysical properties

Range resolution







#### Range resolution





#### Basic lidar (laser radar) setup



Adapted from R. M. Measures: "Laser Remote Sensing. Fundamentals and applications". John Wiley & Sons, 1984





## Aerosol lidar

- Physical principle:
  - Elastic scattering, both Mie (particles of size in the range of the wavelength→i.e. aerosols) and Rayleigh (particles of size << wavelength → molecules)</li>
  - Raman-shifted scattering by  $N_2$  or  $O_2 \leftarrow$  advanced systems
- Primary product:
  - Range-resolved aerosol optical coefficients (extinction, backscatter)
- Lasers:
  - Ruby ( $\lambda$  = 694.3 nm, 347.2 nm)
  - Excimer ( $\lambda$  ~350 nm)
  - <u>Nd:YAG</u> ( $\lambda$  = 1064 nm, 532 nm, 355 nm)







#### **Example of aerosol lidar**









#### In operation...





#### In operation a few days ago









#### Receiving system...





000 000 UPC







#### The complete system...



000 000 UPC





#### Lidar equation

$$\mathsf{P}(\mathsf{R}) = \frac{\mathsf{CO}(\mathsf{R})}{\mathsf{R}^2} \beta(\mathsf{R}) \exp\left(-2\int_0^{\mathsf{R}} \alpha(\mathsf{x}) \mathsf{d}\mathsf{x}\right)$$

- **C** : Instrument constant
- O(R): overlap factor takes into account signal
- R: Range

takes into account signal suppression at short ranges due to the optical arrangement

**β(R): backscatter coefficient** 

 $\alpha(R)$ : extinction coefficient

The lowest-level lidar product:

Range-corrected signal / quantitative information about layer height, but not on optical coefficients

$$X(R) = KP(R)R^{2} = KCO(R)\beta(R)exp(-2\int_{0}^{R}\alpha(x)dx)$$





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#### **Range-corrected signal**



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#### Ceilometers: simple lidars initially designed to measure cloud-base range, but also used to measure aerosol returns at short ranges











## **Retrieval of the optical coefficients**

 $\beta(R) = \beta_m(R) + \beta_a(R), \alpha(R) = \alpha_m(R) + \alpha_a(R)$ 

 $\beta_m(R)$ : molecular (Rayleigh) backscatter  $\alpha_m(R)$ : molecular (Rayleigh) extinction

 $\beta_a(R)$ : aerosol backscatter  $\alpha_a(R)$ : aerosol extinction







#### Simplest systems (backscatter lidars)

- Only one transmitted wavelength
- Only one received wavelength from elastic backscatter
- To retrieve the optical coefficients an assumption has to be made concerning the relationship between  $\alpha_a(R)$  and  $\beta_a(R)$ :

## $S_a(R) = \alpha_a(R)/\beta_a(R)$







#### **Klett-Fernald retrieval method**

$$\frac{\beta(R_m)X(R)exp\left(-2\int_R^{R_m}\left(\frac{8\pi}{3}-S_a(x)\right)\beta_m(x)dx\right)}{X(R_m)+2\beta(R_m)\int_R^{R_m}S_a(x)X(x)exp\left(-2\int_x^{R_m}\left(\frac{8\pi}{3}-S_a(x')\right)\beta_m(x')dx'\right)dx}-\beta_m(R)$$

 $R_m$ : range at which β is known  $\rightarrow$  usually range high enough to be free of aerosols, for which β is purely molecular (therefore known)

 $\boldsymbol{\alpha}_{a}\left(\boldsymbol{\mathsf{R}}\right)=\boldsymbol{\mathsf{S}}_{a}\left(\boldsymbol{\mathsf{R}}\right)\boldsymbol{\beta}_{a}\left(\boldsymbol{\mathsf{R}}\right)$ 







#### **Range-corrected signal**



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#### **Range-corrected signal**











# Klett-Fernald solution: "refinement" if aerosol optical depth of a close-by sunphotometer is available

An constant "average" (throughout the column)  ${\rm S}_{\rm a}$  is assumed

$$B_{a}(R) = \frac{\beta(R_{m})X(R)\exp\left(-2\int_{R}^{R_{m}}\left(\frac{8\pi}{3}-S_{a}\right)\beta_{m}(x)dx\right)}{X(R_{m})+2\beta(R_{m})\int_{R}^{R_{m}}S_{a}X(x)\exp\left(-2\int_{x}^{R_{m}}\left(\frac{8\pi}{3}-S_{a}\right)\beta_{m}(x')dx'\right)dx}-\beta_{m}(R)$$

$$\alpha_{a}(R) = S_{a}\beta_{a}(R)$$

S<sub>a</sub> is iteratively adjusted until

 $\int_{0}^{R_{m}} \alpha_{a}(R) dR \approx AOD_{SP}$ 







#### Example of Klett-Fernald method constrained by sunphotometer AOD measurement







#### More elaborated systems (elastic-Raman lidars)

- One transmitted wavelength
- Two received wavelengths:
  - Elastic (aerosol + molecules)
  - Raman-shifted wavelength from an abundant (e.g. N<sub>2</sub>) atmospheric constituent
- The Raman-shifted wavelength acts as a "marker" signal
- No assumption about relationship between  $\alpha_a(R)$  and  $\beta_a(R)$  is needed
- Independent retrieval of  $\alpha_a(R)$  and  $\beta_a(R)$







#### **RAMAN SCATTERING**









# Combination of elastic backscatter + N<sub>2</sub>

![](_page_33_Figure_1.jpeg)

![](_page_34_Picture_0.jpeg)

![](_page_35_Picture_0.jpeg)

#### **Elastic + Raman combination**

![](_page_35_Figure_2.jpeg)

![](_page_35_Picture_3.jpeg)

![](_page_36_Picture_0.jpeg)

![](_page_36_Picture_1.jpeg)

- Backscatter Raman very faint → difficult to operate Raman channels in day time because of noise induced by background radiation.
- Alternative: high-spectral resolution lidar (more complex than Raman, but more sensitive)

![](_page_37_Picture_0.jpeg)

#### HIGH SPECTRAL RESOLUTION LIDAR (HSRL)

# Principle similar to Raman, but using Doppler-widened elastic molecular return

![](_page_37_Figure_3.jpeg)

Adapted from E. E. Eloranta, "High Spectral Resolution Lidar", in *Lidar. Range-Resolved Optical Remote Sensing of the Atmosphere* (C. Weitkamp, ed.), Springer, 2005

 $P(R)R^{2} = C\beta_{m}(R)e^{-2\int_{0}^{R} \left[\alpha_{a}(x) + \alpha_{m}(x)\right]dx}$ 

![](_page_38_Picture_0.jpeg)

![](_page_38_Picture_1.jpeg)

#### Another step further: multiwavelength lidars

- The retrieval of extinction and backscatter coefficients at several wavelengths allows the retrieval of aerosol particle microphysical properties:
  - effective radius
  - volume concentration
  - complex refractive index

It has been shown "that under certain constraints a minimum data set of three backscatter coefficients and two extinction coefficients is sufficient for a successful inversion" (C.Böckmann et al. JOSA A, 22, 3, Mar. 2005, pp. 518-528)

#### **EARLINET** standard

![](_page_38_Picture_9.jpeg)

![](_page_39_Picture_0.jpeg)

![](_page_39_Picture_1.jpeg)

## EARLINET standard

- Nd:YAG laser with 2nd and 3rd harmonic generators → 3 transmitted wavelengths:
   1064 nm (IR), 532 nm (VIS), 355 nm (UV)
- Receiving channels:
  - Elastic backscatter: 1064 nm, 532 nm, 355 nm
  - Raman N<sub>2</sub> channels: 607 nm, 387 nm
  - Advisable: depolarization channels

![](_page_39_Picture_8.jpeg)

![](_page_40_Picture_0.jpeg)

![](_page_40_Picture_1.jpeg)

#### **Barcelona EARLINET lidar station**

![](_page_40_Picture_3.jpeg)

![](_page_40_Picture_4.jpeg)

![](_page_41_Picture_0.jpeg)

#### Another example Input data (wildfire smoke plume in Athens, 29 June 2007)

![](_page_41_Figure_2.jpeg)

From Böckmann et al. Proc. IGARSS 2008, pp. II-422 – II-425

![](_page_41_Picture_4.jpeg)

![](_page_42_Picture_0.jpeg)

![](_page_42_Picture_1.jpeg)

# Results: volume distribution of particles, mean refractive index m = 1.37 + 0.006i

![](_page_42_Figure_3.jpeg)

![](_page_43_Picture_0.jpeg)

![](_page_43_Picture_1.jpeg)

#### Multiwavelength lidar + sunphotometer

In day time, with no Raman channels available, synergies with sunphotometer allow volume profiles of fine and coarse mode volume concentrations

![](_page_43_Figure_4.jpeg)

x 15 m

Results of LIRIC software (A. Chaikovsky's, Inst. of Physics, Nat. Acad. Sc. of Belarus) on UPC lidar measurements

![](_page_44_Picture_0.jpeg)

![](_page_44_Picture_1.jpeg)

30 lidar stations operating in a coordinated way: → 4D picture of aerosol distribution at continental scale → aerosol climatology at continental scale

- 3+2 (+...) stations (aerosol typing, microphysics): 13
- Raman lidars (extinction profiling): 9
- Backscatter lidar: 9
- Depolarization channel (aerosol typing): 15

Collocated sun-

![](_page_44_Figure_8.jpeg)

![](_page_44_Picture_9.jpeg)

![](_page_45_Picture_0.jpeg)

![](_page_45_Picture_1.jpeg)

### **ACTRIS infrastructure**

![](_page_45_Picture_3.jpeg)

![](_page_45_Picture_4.jpeg)

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**RSI AB** 

![](_page_46_Picture_0.jpeg)

![](_page_46_Picture_1.jpeg)

## **BEYOND EARLINET**

- GALION: WMO's Global Atmosphere Watch Aerosol Lidar Network
- Federates existing lidar networks
- EARLINET is striving to set up the standards for measurement methodology, and hardware and software quality assurance

![](_page_46_Picture_6.jpeg)

![](_page_47_Figure_0.jpeg)

# ALINE • AD-NET • CISLINet • EARLINET • MPLNET • NDACC REALM/CREST - CORALNet (Canada) not shown

![](_page_47_Picture_2.jpeg)

![](_page_48_Picture_0.jpeg)

![](_page_48_Picture_1.jpeg)

### EARLINET SUPPORT FOR SPACE-BORNE LIDARS

- Calibration validation activities for
  - CALIPSO (current)
  - ADM-Aeolus (future)
  - EarthCARE (future)

![](_page_48_Picture_7.jpeg)

![](_page_49_Picture_0.jpeg)

#### Lidar from space: CALIOP instrument on board CALIPSO satellite (NASA-CNES)

(since april 2006)

![](_page_49_Picture_3.jpeg)

© COES - Juillet 2004 / illustration P.CARRIL

#### http://www-calipso.larc.nasa.gov/about/payload.php

![](_page_50_Picture_0.jpeg)

![](_page_50_Picture_1.jpeg)

Characteristics

## CALIOP on board CALIPSO (NASA-CNES)

(since april 2006)

Star Tracker X-Band CALIOP Antenna Assembly Nd: YAG, diode-pumped, Q-switched, laser: frequency doubled wavelengths: 532 nm, 1064 nm pulse energy: 110 mJoule/channel 20.25 Hz repetition rate: Wide Field Camera receiver telescope: 1.0 m diameter (WFC) Imaging polarization: 532 nm Infrared Radiometer footprint/FOV: 100 m/ 130 µrad (IIR) vertical resolution: 30-60 m 333 m horizontal Integrated Lidar resolution: Transmitter (ILT) linear dynamic 22 bits range: Payload Housing 316 kbps Assembly data rate:

http://www-calipso.larc.nasa.gov/about/payload.php

![](_page_51_Picture_0.jpeg)

![](_page_51_Picture_1.jpeg)

#### Lidar from space: CALIPSO

![](_page_51_Figure_3.jpeg)

#### http://www-calipso.larc.nasa.gov/products/lidar/

![](_page_51_Picture_5.jpeg)

![](_page_51_Figure_7.jpeg)

![](_page_52_Picture_0.jpeg)

II Lectures on Atmospheric Mineral Dust – Barcelona, 6th of November, 2012 http://www.actris.net

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A B 20 20 20

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http://www.earlinet.org

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EARL

#### **Access to EARLINET database**

Logout

#### logged in as adc

Date minimum:

Date maximum:

Season between:

Public data only:

Station: Categories:

File type:

EARLINET Home Fileformat Docs

#### Dataset Search

Daytime hours between:

Emission wavelength:

Introduction Search Results

Feedback

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68 matching datasets Search

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EARLINET

#### **Access to EARLINET database**

AB EARLINET Home Fileformat Docs RSI Introduction

Feedback

Search Results

	Get	ba1005051345.b532	2010-05-05 13:45	2010-05-05 14:15	ba	532	2012-04-18 15:09
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	Get	ba1005101339.b532	2010-05-10 13:39	2010-05-10 14:39	ba	532	2011-02-28 13:21
	Get	ba1005101441.b532	2010-05-10 14:41	2010-05-10 15:41	ba	532	2011-02-28 13:21
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	Get	ba1005151056.b355	2010-05-15 10:56	2010-05-15 11:26	ba	355	2012-04-18 15:09
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#### Access to EARLINET database

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![](_page_57_Picture_4.jpeg)

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![](_page_58_Picture_1.jpeg)

#### **Contribution to air-traffic safety assessment**

![](_page_58_Figure_3.jpeg)

**RSI AB** 

![](_page_59_Picture_0.jpeg)

![](_page_59_Picture_1.jpeg)

#### Summary

- Lidar: powerful tool for the measurement of vertical profiles of dust  $\rightarrow$  contribution to climate, meteorological and air-quality modelling + ...  $\rightarrow$  possibility of operational networks
- Wide range of systems: from simple single-wavelength backscatter instruments to multiwavelength systems combining elastic and N<sub>2</sub> Raman channels, or using high-spectral resolution techniques  $\rightarrow$  from information on layering to microphysical properties of aerosol
- Synergies with sun-photometers
- Coordinated ground-based networks → continental to global coverage
- Satellite-borne systems for global coverage
- Cooperation between ground-based networks and satellite instruments

![](_page_60_Picture_0.jpeg)

![](_page_60_Picture_2.jpeg)

• European Union 7th Framework Programme for Research and Technological Development project "Aerosols, Clouds, and Trace gases Research InfraStructure Network (ACTRIS)" (Grant Agreement No. 262254)

• Spanish National Plan of Scientific Research, Development and Innovation and the European Regional Development Fund (ERDF) for projects TEC2009-09106/TEC and UNPC10-4E-442

• Spanish Ministry of Science and Innovation for Complementary Action CGL2011-13580-E/CLI.

![](_page_60_Picture_6.jpeg)